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Commissioner for Patents  
Washington, D.C. 20231  
on November 6, 2001

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature

Case No. 8285/448

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

COSTA ET AL.

Serial No.: 09/942,260

Examiner: Not Yet Assigned

Filed: August 28, 2001

Group Art Unit: 2631

For: METHOD AND SYSTEM TO  
IMPROVE THE TRANSPORT OF  
COMPRESSED VIDEO DATA

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:


In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated October 2, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Patent Application Declaration combined with Power of Attorney (3 pages) for the above-referenced patent application.
- ☒ Applicant is:

- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$954.00 for:
- ☒ Filing Fee of \$740.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$84.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Assignment (3 pages); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 10 U.S. References; Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: November 6, 2001

  
\_\_\_\_\_  
Joseph P. Hetz  
Registration No. 41,070  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

Sector 7

TRANSMITTAL LETTER			Case No. 8285/448
Serial No. 09/942,260	Filing Date August 28, 2001	Examiner Not Yet Assigned	Group Art Unit 2631
Inventor(s) COSTA ET AL.			
Title of Invention METHOD AND SYSTEM TO IMPROVE THE TRANSPORT OF COMPRESSED VIDEO DATA			

DEC 10 2001

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (1 page); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Patent Application Declaration Combined with Power of Attorney (3 pages); Assignment (3 pages); Assignment Recordation Cover Sheet (1 page); Check- \$40.00 for Assignment Recordation Fee; Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 10 U.S. References; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed:
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	\$
x \$84 =	
+ \$280 =	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$954.00 to cover the filing fee of \$740.00, the surcharge fee of \$130.00 and the additional claim fee of \$80.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Joseph F. Hertz  
Registration No. 41,070  
Attorney for Applicant

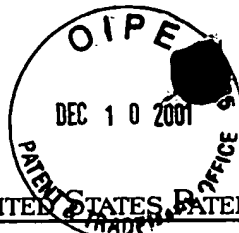
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P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 6, 2001.

Date: November 6, 2001

Signature: \_\_\_\_\_

#4

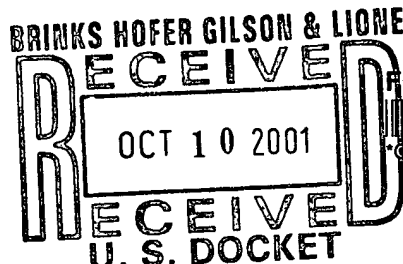


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,260	08/28/2001	John R. Erickson	8285/448

00757  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 6291

FORMALITIES LETTER



\*C000000006829007\*

Date Mailed: 10/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.  
    ▪ \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

12/11/2001 EAREGAY1 00000057 09942260

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	740.00 OP
02 FC:102	84.00 OP
03 FC:105	130.00 OP